

UNITED STATES OF AMERICA,	)	No. CR 11-70453 MAG (LB)
	)	
Plaintiff,	)	<b>AMENDED</b>
	)	RELEASE ORDER
v.	)	
	)	
JAMES CALMAR ESVER,	)	
	)	
Defendant.	)	
	)	

## I. RELEVANT LEGAL STANDARDS

RELEASE ORDER  
CR 11-70453 MAG (LB)

1 not reasonably assure the defendant's appearance or the safety of the community or another  
2 person. *See* 18 U.S.C. § 3142(b). If a court determines that release on a bond alone presents a  
3 risk of nonappearance or a danger to any person or the community, then the court must choose  
4 "the least restrictive further condition[s]" that will assure the defendant's appearance and the  
5 safety of the community or another person. *See id.* § 3142(c) (listing thirteen possible conditions  
6 of release and empowering the court to impose "any other condition that is reasonably  
7 necessary" to assure the defendant's appearance and the community's safety).

8 In evaluating whether conditions can be fashioned under section 3142(c) to reasonably assure  
9 the defendant's appearance and the safety of the community or another person, a court "shall  
10 consider" the factors in section 3142(g), including (1) the nature and circumstances of the  
11 offense, including whether the offense is one of certain enumerated crimes (including a  
12 controlled substance offense), (2) the weight of the evidence, (3) the history and characteristics  
13 of the person (including his job, financial resources, family ties, community ties, substance abuse  
14 history, physical and mental condition, character, criminal history, past conduct, track record in  
15 appearing court, and whether at the time of arrest the person was on supervision for parole,  
16 probation, or other release in a pending criminal case), and (4) the nature and seriousness of the  
17 danger to any person or the community posed by the person's release. *Id.* § 3142(g).

18 Under section 3142(f), the court holds an actual bail hearing only in certain cases, including  
19 on the government's motion in drug cases (like the one here) involving a maximum sentence of  
20 at least ten years. *See id.* § 3142(f). At the hearing, the court determines whether any conditions  
21 in section 3142(c) will reasonably assure the defendant's appearance and the safety of the  
22 community or another person. *See id.* § 3142(f). The court must order a defendant detained if –  
23 "after a hearing pursuant to the provisions of subsection [3142](f)" – the court finds that  
24 conditions cannot be fashioned to assure the defendant's appearance in court or the safety of the  
25 community or another person. *See id.* § 3142(e)(1). For a drug offense like this one with a  
26 maximum prison term of at least ten years,

27 Subject to rebuttal by the person, it shall be presumed that no condition or combination of  
28 conditions will reasonably assure the appearance of the person . . . and the safety of the  
community.

1 *Id.* § 3142(e)(3). The presumption shifts a burden of production to a defendant, but the burden  
 2 of persuasion remains with the government. *See United States v. Hir*, 517 F.3d 1081, 1086 (9th  
 3 Cir. 2008). The government must establish the risk of non-appearance by a preponderance of  
 4 the evidence, *see United States v. Motamedi*, 767 F.2d 1403, 1407 (9th Cir. 1985), and it must  
 5 establish danger to the community by clear and convincing evidence, *see* 18 U.S.C. §  
 6 3142(f)(2)(B).

## 7 II. RELEASE ORDER

8 Following a hearing under 18 U.S.C. § 3142(f), and considering the factors set forth in  
 9 section 3142(g), the Court finds that a combination of conditions in section 3142(c) will  
 10 reasonably assure the defendant's appearance in this case and the safety of any other person or  
 11 the community. In particular, the Court considered the following facts and 3142(g) factors.

### 12 A. The Nature and Circumstances Of the Offense

13 The serious narcotics offenses here involve methamphetamine. While Mr. Esver's conduct  
 14 as a buyer (and presumably re-seller) is less culpable than the supplier, still, it is a serious  
 15 offense. The court observes that other buyers generally have been released on the case on  
 16 conditions (generally involving residential treatment because addiction has been a problem for  
 17 those defendants charged in other complaints related to the same wiretap).

### 18 B. The Weight of the Evidence

19 While the weight of the evidence is the least important factor, *see, e.g., United States v.*  
 20 *Gebro*, 948 F.2d 1118, 1121 (9th Cir. 1991), it is relevant to the court's assessment of danger to  
 21 the community and the risk of the defendant's non-appearance in court. Given the substantial  
 22 penalties faced by the defendant, the case – as revealed by the presumption and the strength of  
 23 wiretap evidence with actual intercepted phone calls – tends to show flight risk and reveals the  
 24 danger to the community of the defendant's conduct.

### 25 C. The Defendant's History and Characteristics

26 Turning first to his criminal history, the defendant, who is 43, has misdemeanor convictions  
 27 in 2001 for drug possession and an annoying phone call.

28 Other defendants have had substance abuse and mental health issues. The court had a serious

1 conversation with Mr. Esver and his attorney, Scott Sugarman, about the difficulties about  
2 addiction and the appropriateness of residential treatment for issues with methamphetamine (and  
3 essentially the difficulties of compliance with supervision terms). Based on Mr. Sugarman's  
4 conversations with his client, he represented that the conditions that the court set were sufficient,  
5 and the court accepts those representations. (Mr. Sugarman has a demonstrated track record of  
6 forthrightly addressing issues like this in cases like that, and so the court gives weight to his  
7 assessment.)

8 Mr. Esver has strong ties to the community, all reflected in the bail study. He was born and  
9 raised in Richmond, he lives still in San Pablo, and in the normal course of life, he has regular  
10 contact with his parents and his family. Indeed, all members of his family reside at a home  
11 owned by his parents. He has seven siblings who live in the Bay Area. The problem is that  
12 following execution of the search warrant, his family is currently not speaking with him.  
13 Similarly-situated defendants were released, but all had family members standing up with them.  
14 Mr. Esver did not have that, and so at the initial bail hearing, the court did not order his release,  
15 instructing Mr. Sugarman that someone had to stand up with Mr. Esver. At this last hearing, his  
16 friend from high-school appeared in court and signed on the release form.

17 D. Conclusion and 3142(c) Conditions

18 Here, the defendant produced evidence to rebut the presumption that no conditions of release  
19 would reasonably assure his presence or community safety. Under *Hir*, the burden of persuasion  
20 remains with the government. See 517 F.3d at 1086. The court's inquiry is not whether the  
21 defendant is guilty of the offenses, which why the weight of the evidence is the least important  
22 factor in the court's bail inquiry. See *Gebro*, 948 F.2d at 1121. Instead, even in the context of a  
23 section 3142(f) hearing, the court's inquiry remains whether – considering the factors in section  
24 3142(g) – any conditions in section 3142(c) will reasonably assure the defendant's appearance  
25 and the safety of the community. The court finds that the conditions in the attached bond form  
26 reasonably assure the defendant's appearance and community safety.

27 The court elaborates a bit more on release. This defendant is the kind of person who  
28 ordinarily bails out on a bond co-signed by family members. The search here – according to Mr.

1 Sugarman and unchallenged by the government at the first hearing – is that the search was  
2 carried out by local law enforcement and was very upsetting to family members. That context is  
3 important to the court only to explain why family members are so angry. It makes sense: Mr.  
4 Esver risked their peace and disrupted their lives, and they are angry. As a result, he was left  
5 entirely alone. The problem is that left a defendant who ordinarily would get out with no  
6 recourse. That is why the court told Mr. Esver that someone needed to stand up for him and risk  
7 their financial future to ensure compliance with the conditions of release.

8 That was his friend from high school, a full-time mother with a seven-year-old daughter who  
9 works part-time as a hairstylist making a relatively modest \$1,000 a month. Given her financial  
10 situation, the court had her co-sign on the bond in the amount of \$25,000 (and the full bond  
11 amount as to Mr. Esver is \$50,000).

12 The court appreciates that to some, that amount is modest. To the co-signer, it represents a  
13 sufficient financial hardship. It is enough to be a meaningful risk.

14 There is another issue about co-signing on the bond. The government did not argue this in  
15 this case, but in case it does on appeal, the court offers this additional context and explanation.  
16 The bond is not a surety bond but is a co-signed bond. In other cases, the government has  
17 argued that the court could not impose this condition without requiring the sureties to  
18 demonstrate by affidavit that they had sufficient assets to cover the bond amount. *See* 18 U.S.C.  
19 § 3142(c)(1)(B)(xii) (permitting a defendant to be released on a bail bond with solvent sureties);  
20 Fed. R. Crim. P. 46(e) (requiring sureties to sign an affidavit that they have adequate assets).  
21 The court rejected that argument in other cases and rejects it here. It did not appoint the co-  
22 signors as sureties because they do not have sufficient solvent assets now to make good on a  
23 bond amount now. Instead, based on their income and the court's assessment of their ability to  
24 pay a bond amount over time, the court imposed the condition that they co-sign on the bond.  
25 The court imposed that condition under 3142(c)(1)(B)(xiv)'s catch-all position, which allows the  
26 court to impose "any other condition that is reasonably necessary" to assure a defendant's  
27 appearance and community safety.

28 To explain this approach in more detail, it is true that a court *may* release a defendant on a

1 bond co-signed by solvent sureties. But that is only one of many conditions that a court *may*  
 2 impose. Indeed, before imposing any conditions of release, the bail statute first requires release  
 3 on a personal recognizance bond or an unsecured appearance bond unless such release would not  
 4 reasonably assure the person's appearance or the safety of the community. 18 U.S.C. § 3142((b)).  
 5 Then, the bail statute requires the following:

6 If the judicial officer determines that the release . . . [on a personal recognizance or  
 7 unsecured appearance bond] will not reasonably assure the appearance of the person as  
 8 required, or the safety of any other person or the community, such judicial order shall order  
 9 the pretrial release of the person –

(A) subject to the condition that a person not commit a Federal, state, or local crime during  
 the period of release . . . ; and

(B) subject to the *least restrictive further condition, or combination of conditions*, that the  
 judicial officer determines will reasonably assure the appearance of the person as required  
 and the safety of any other person or the community, which may include the condition that  
 the person —

[then lists fourteen possible conditions of release that a court may impose].

14 See 18 U.S.C. § 3142(c)(1)(A) and (B)(i-xiv). The fourteen possible conditions are as follows:

(i) a custodian; (ii) employment; (iii) attending school; (iv) travel restrictions; (v) no contact  
 with victims; (vi) reporting to pretrial services; (vii) a curfew; (viii) no firearms; (ix) no  
 excessive use of alcohol and no controlled substance without a prescription; (x) medical,  
 psychological or psychiatric treatment (including substance abuse treatment); (xi) execution  
 of an agreement to forfeit solvent assets upon a failure to appear; (xii) executing a bail bond  
 with solvent sureties; (xiii) intermittent custody (with release for work, school, and other  
 limited purposes; and (xiv) “any other condition that is reasonably necessary to assure the  
 appearance of the person as required and to assure the safety of any other person and the  
 community.”

20 Thus, 3142(c)(1)(B) requires the court to release a defendant under the least restrictive  
 21 conditions that will reasonably assure the defendant's appearance and community safety, “which  
 22 may include” the fourteen conditions listed above. That list is not exhaustive or exclusive. More  
 23 to the point here, nothing in section 3142(c)(1)(B) *requires* the court to have a bond with solvent  
 24 sureties.

25 The bail statute's approach is that when, as here, an unsecured bond alone is not sufficient,  
 26 the court may impose additional conditions that are “the least restrictive further conditions” that  
 27 will reasonably assure the defendant's appearance and the safety of the community. Those  
 28 conditions *may* include the conditions specified in section 3142(c)(1)(B)(i-xiii) (including a

1 solvent surety) or “any other condition that is reasonably necessary to assure” the defendant’s  
2 appearance or the community’s safety. *See id.* § 3142(c)(1)(B)(xiv). The conditions that the  
3 court imposes here include a \$50,000 unsecured personal recognizance co-signed by Mr. Esver’s  
4 friend in the amount of \$25,000

5 As part of the co-signing process, the court did not require a Rule 46(e) affidavit establishing  
6 assets of up to \$25,000 because the court’s questioning of the co-signer revealed that she does  
7 not have those assets. Instead, the court had her sign under section 3142(c)(1)(B)(iv)’s catch-all  
8 provision. The court addressed the co-signer’s income, employment, assets, and criminal history  
9 directly in open court, and had them affirm their basic information on a form provided to the  
10 government to ensure that any factors that might render the co-signore inappropriate (like  
11 criminal history) are identified. The court instructed the co-signor, and the defendant, about the  
12 consequences of signing on the bond: if the defendant violates any of the conditions of his  
13 release, the court will declare the bond forfeit, and the government may come after the defendant  
14 and the co-signor for up to the full amount of the bond. The court explained that the bond  
15 amount was like a mortgage in that the co-signer would be liable to pay up to \$25,000 if the  
16 defendant violates any terms of his release. The court emphasized to the co-signer the real and  
17 long-standing financial harm that the co-signer would suffer if the defendant violated the terms  
18 of his release. The court also emphasized to the defendant how the co-signer was staking their  
19 financial future on his compliance with the terms of his release. It is the defendant’s recognition  
20 of that risk – together with the other conditions of release – that reasonably assures the court of  
21 the defendant’s appearance and the safety of the community. *See* 18 U.S.C. § 3142(c). And  
22 here, Mr. Esver was visibly shaken, and cried during these advisements. The co-signer’s  
23 affection for her high-school friend was also apparent.

24 Three other points about this process should be stressed.

25 First, the government’s position – taken to its logical conclusion – is that defendants with  
26 some risk of non-appearance or danger to the community that can be mitigated with a co-signed  
27 appearance bond can never be released unless they can identify someone in their lives with  
28 significant and identifiable assets. Put another way, under the government’s approach,



1 defendants without access to material wealth (either their own or through friends and family)  
2 will not have a meaningful shot at pretrial release. That runs counter to the Bail Reform Act's  
3 mandate that a "judicial officer may not impose a financial condition that results in the pretrial  
4 detention of the person." 18 U.S.C. § 3142(c)(2). The Advisory Committee Notes to Rule 46  
5 reflect a similar policy to eliminate financial barriers to a poor defendant's ability to be released  
6 on bail:

7 The amendments are designed to make possible (and to encourage) the release on bail of a  
8 greater percentage of indigent defendants than are now released. To the extent that other  
9 considerations make it reasonably likely that the defendant will appear, it is both good  
10 practice and good economics to release him on bail even though he cannot arrange for cash  
or bonds even in small amounts. In fact it has been suggested that it may be a denial of  
constitutional rights to hold indigent prisoners in custody for no other reason than their  
inability to raise money for a bond. *Bandy v. United States*, 81 S. Ct. 198 (1960).

11 Advisory Committee Notes to 1966 Amendment to Fed. R. Crim. P. 46.

12 Second, the court observes that Rule 46(e) does require that a surety be qualified. That  
13 means the surety must demonstrate by affidavit that its assets are adequate. Fed. R. Crim. P.  
14 46(e); *see also* 18 U.S.C. § 3142(c)(1)(B)(xii) (if solvent sureties execute a bond, they must  
15 provide the court with information about the value of the assets and liabilities; such surety shall  
16 have a net worth with sufficient unencumbered value to pay the amount of the bail bond). The  
17 court does not read Rule 46(e) to be inconsistent with the Bail Reform. A reasonable reading is  
18 that Rule 46(e) applies to solvent sureties who execute a bail bond under 18 U.S.C. §  
19 3142(c)(1)(B)(xii). And again, this reading comports with the policy of eliminating barriers to  
20 the release of indigent defendants on bail. *See* 18 U.S.C. § 3142(c)(2); Advisory Committee  
21 Notes to 1966 Amendment to Fed. R. Crim. P. 46. It also is consistent with the requirement that  
22 the court impose the least-restrictive conditions to reasonably assure the defendant's appearance  
23 and the community's safety. *See id.* § 3142(c).

24 Third, the approach that the court employs here is the well-established practice of this  
25 district's magistrate judges and many magistrate judges across the country. *See, e.g., United*  
26 *States v. Thomas*, 615 F. Supp. 2d 1083, 1085-86 (N.D. Cal. Apr. 29, 2009) (rejecting  
27 government's Rule 46(e) argument, noting significance of co-signers' promises to pay a  
28 significant amount of money over time, and noting that other federal courts throughout the



country routinely apply this approach); *United States v. Powell*, No. CR 10-00292 CW (DMR), ECF Nos. 18 (release order rejecting Rule 46(e) argument) and 41 (Judge Wilken affirmed release order); *United States v. Cruz*, No. CR. 09-01157 DLJ (LB), ECF Nos. 24 (release order rejecting Rule 46(e) argument) and 30 (Judge Jensen affirmed release order).

V. CONCLUSION

The Court orders the defendant released pursuant to the conditions in the attached "Order Setting Conditions of Release and Appearance Bond."

At the government's request (to allow it to consider whether to appeal), the court stays its release order until Friday, April 29, 2011 at 5 p.m.

IT IS SO ORDERED.

DATED: April 28, 2011

  
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LAUREL BEELER  
United States Magistrate Judge